



Market Surveillance

DATE: October 15, 2014

NOTICE #: MSN10-15-14C

SUBJECT: Amendments to the New York Mercantile Exchange, Inc. Rulebook Chapter 7

Effective trade date October 20, 2014 and pending all relevant CFTC regulatory review periods, the New York Mercantile Exchange, Inc. (NYMEX or Exchange) will amend NYMEX Rulebook Chapter 7 in connection with the listing of the physically delivered Illinois Basin Coal futures contract (see [SER-7206](#) published October 1, 2014) on the same trade date. The amendments are intended to incorporate the terms and conditions for approval of coal terminals and withdrawal or revocation of approved status of such coal terminals.

In addition, the Exchange is amending Rule 703.B.5.f, *Conditions for Approval – Financial Statements*, such that an approved metals storage firm is required to notify the Exchange of *any* substantial reduction in their capital as opposed to notifying the Exchange upon a reduction of 20% of the firm's tangible net worth.

The amendments to NYMEX Chapter 7 can be found in black-line format at the following link:
http://www.cmegroup.com/market-regulation/files/14-416_2_AppA.pdf.

Please refer questions on this subject to:

Market Regulation

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